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| Serial No.: | 10/775,868 | Docket No.: | 17209-311CP1 |
| Filed: | February 10, 2004 | Conf. No.: | 1175 |
| Applicant(s): | David Lawrence | Examiner: | Vizvary, Gerald C. |
| For: | Insider Trading Risk Management | | |

Mailstop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

AMENDMENT/RESPONSE ACCOMPANYING RCE, 37 CFR §1.114

Sir:

Further to the Final Office Action dated April 8, 2010 (hereinafter "Office Action"), in view of the concurrently filed request for Request Continued Examination ("RCE"), and in view of the following amendments and/or remarks, David Lawrence and/or Attorney(s) for applicant(s) (hereinafter "Applicant") request entry thereof and reconsideration of the above-referenced application.

Amendments to the Claims are reflected in the listing of claims begin on page 2.

Remarks begin on page 7 of this paper.

35 USC § 112 remarks begin on page 7 of this paper.

35 USC § 103 remarks begin on page 8 of this paper.